

DOCKET NO.: 260087US0PCT

10/511099  
DT04 Rec'd PCT/PTO 14 OCT 2004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Masanori SERA, et al.

SERIAL NO.: NEW U.S. PCT APPLICATION

FILED: HERewith

INTERNATIONAL APPLICATION NO.: PCT/JP03/04760

INTERNATIONAL FILING DATE: April 15, 2003

FOR: THERMOPLASTIC RESIN COMPOSITION

**REQUEST FOR PRIORITY UNDER 35 U.S.C. 119**  
**AND THE INTERNATIONAL CONVENTION**

Commissioner for Patents  
Alexandria, Virginia 22313

Sir:

In the matter of the above-identified application for patent, notice is hereby given that the applicant claims as priority:

| <u>COUNTRY</u> | <u>APPLICATION NO</u> | <u>DAY/MONTH/YEAR</u> |
|----------------|-----------------------|-----------------------|
| Japan          | 2002-113797           | 16 April 2002         |

Certified copies of the corresponding Convention application(s) were submitted to the International Bureau in PCT Application No. PCT/JP03/04760. Receipt of the certified copy(s) by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT/IB/304.

//

Respectfully submitted,  
OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Norman F. Oblon  
Attorney of Record  
Registration No. 24,618  
Surinder Sachar  
Registration No. 34,423

Customer Number

**22850**

(703) 413-3000  
Fax No. (703) 413-2220  
(OSMMN 08/03)

|   |   |  |
|---|---|--|
| FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE<br>(REV. 07-2004)  |   | ATTORNEY'S DOCKET NUMBER<br><b>260087US0PCT</b>  |
| <b>TRANSMITTAL LETTER TO THE UNITED STATES</b><br><b>DESIGNATED/ELECTED OFFICE (DO/EO/US)</b><br><b>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>   |   | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br><div style="font-size: 1.5em; font-weight: bold; text-align: center;">10/511099</div> |
| INTERNATIONAL APPLICATION NO.<br><b>PCT/JP03/04760</b>  | INTERNATIONAL FILING DATE<br><b>15 April 2003</b> | PRIORITY DATE CLAIMED<br><b>16 April 2002</b>  |
| TITLE OF INVENTION<br><b>THERMOPLASTIC RESIN COMPOSITION</b>  |   |  |
| APPLICANT(S) FOR DO/EO/US<br><b>Masanori SERA et al.</b>  |   |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |   |  |
| <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).           <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> <li>11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li> <li>12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li> </ol> <p><b>Items 13 to 23 below concern document(s) or information included:</b></p> <ol style="list-style-type: none"> <li>13. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>15. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li>16. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>17. <input type="checkbox"/> A substitute specification.</li> <li>18. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</li> <li>20. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</li> <li>21. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).</li> <li>22. <input type="checkbox"/> Express Mail Label No.</li> <li>23. <input checked="" type="checkbox"/> Other items or information:           <div style="margin-top: 10px;"> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><b>Application Data Sheet</b><br/> <b>PCT/IB/304</b><br/> <b>Form PTO-1449</b></p> </div> <div style="width: 45%;"> <p><b>Notice of Priority</b><br/> <b>PCT/IB/308</b><br/> <b>Reference Cited (4)</b></p> </div> </div> </div> </li> </ol> |   |  |

|  |              |   |                                     |  |    |
|--|--------------|---|-------------------------------------|--|----|
| U.S. APPLICATION NO (if known, see 37 CFR 1.51) <b>10/511099</b>   |              | INTERNATIONAL APPLICATION NO. <b>PCT/JP03/04760</b> |                                     | ATTORNEY'S DOCKET NUMBER <b>260087US0PCT</b>   |    |
| 24. The following fees are submitted:.   |              |   |                                     | CALCULATIONS PTO USE ONLY  |    |
| BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)) :  |              |   |                                     |  |    |
| <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1110.00                         |              |   |                                     |  |    |
| <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$950.00   |              |   |                                     |  |    |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$790.00   |              |   |                                     |  |    |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$750.00  |              |   |                                     |  |    |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00  |              |   |                                     |  |    |
| ENTER APPROPRIATE BASIC FEE AMOUNT =   |              |   |                                     | \$950.00   |    |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30   |              |   |                                     | \$130.00   |    |
| CLAIMS   | NUMBER FILED | NUMBER EXTRA  | RATE                                |  |    |
| Total claims   | 14 - 20 =    | 0   | x \$18.00                           | \$0.00   |    |
| Independent claims   | 2 - 3 =      | 0   | x \$88.00                           | \$0.00   |    |
| Multiple Dependent Claims (check if applicable).   |              |   | <input checked="" type="checkbox"/> | \$300.00   |    |
| TOTAL OF ABOVE CALCULATIONS =  |              |   |                                     | \$1,380.00   |    |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   |              |   |                                     | \$0.00   |    |
| SUBTOTAL =   |              |   |                                     | \$1,380.00   |    |
| Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 +   |              |   |                                     | \$0.00   |    |
| TOTAL NATIONAL FEE =   |              |   |                                     | \$1,380.00   |    |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).  |              |   | <input type="checkbox"/>            | \$0.00   |    |
| TOTAL FEES ENCLOSED =  |              |   |                                     | \$1,380.00   |    |
|  |              |   |                                     | Amount to be: refunded   | \$ |
|  |              |   |                                     | charged  | \$ |
| a. <input type="checkbox"/> A check in the amount of _____ to cover the above fees is enclosed.  |              |   |                                     |  |    |
| b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.   |              |   |                                     |  |    |
| c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>15-0030</u>  |              |   |                                     |  |    |
| d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |              |   |                                     |  |    |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.  |              |   |                                     |  |    |
| SEND ALL CORRESPONDENCE TO:  |              |   |                                     |  |    |
| Customer Number<br>22850   |              | Surinder Sachar<br>Registration No. 34,423          |                                     | SIGNATURE<br><u>Norman F. Oblon</u><br>NAME<br>24,618<br>REGISTRATION NUMBER<br>Oct. 14 2004<br>DATE |    |